

Government of India
M/o Housing and Urban Affairs
(UPA Division)

Nirman Bhawan, New Delhi
Dated: January 16, 2018

To

The Mission Directors (DAY-NULM)
All States

**SUB: Model for Recognition of Prior Learning (RPL) under DAY-NULM
in convergence with PMKVY**

There is a large unregulated workforce in the country which has acquired a range of skills, without a formal training process. In order to recognize their skills under the National Skills Qualification Framework (NSQF), Recognition of Prior Learning (RPL) has been included in the definition of 'skill development' under Common Norms. Accordingly, RPL has been introduced under DAY-NULM also. RPL is particularly important for the urban poor, as they often cannot afford the loss of wages to attend longer duration training programs. Some States like Assam and Bihar have expressed a need to conduct RPL programs under DAY-NULM to provide certified manpower for construction projects being undertaken under *Pradhan Mantri Awas Yojana (PMAY)* and other on-going Schemes in the States.

2. RPL is being implemented by National Skill Development Corporation (NSDC) under Pradhan Mantri Kaushal Vikas Yojana (PMKVY). DAY-NULM guidelines have the provision of dovetailing with PMKVY. In the dovetailed model, mobilization would be done by DAY-NULM and the training providers' empanelment, ensuring quality of training, assessment etc. would be the responsibility of PMKVY.

3. The following model has been approved for RPL under DAY-NULM in convergence with PMKVY in the sixth Executive Committee meeting (minutes enclosed) of DAY-NULM held under the Chairmanship of Secretary (HUA):

1. The State Urban Livelihood Mission (SULM) may be designated as Project Implementing Agency (PIA) and to submit proposal to NSDC, mentioning number of candidates to be provided RPL, sectors, job role,

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project location, duration of the project, details of sample survey identifying broad knowledge gaps, type and cost of Project etc. as per the template specified by NSDC (the proposal template may be accessed at <http://www.pmkvyofficial.org/Index.aspx>)

2. NSDC would share a shortlist of Training Providers/RPL facilitators with good track record of performance with the SULM, along with their recommendations.
 3. SULM would engage TPs/RPL Facilitators to conduct RPL and to assign targets to them as per their proposal to NSDC.
 4. PMKVY funds may be used for the assessment (Rs. 600-800 assessment pay-out per candidate).
 5. Training cost under RPL may be paid by the PIA or NSDC or may be shared between the two as per mutual agreement.
 6. Monitoring of quality of RPL will be done by NSDC/PIA through site visits, outbound dialling mechanism etc.
 7. PIA/SULM will monitor the implementation of the Project and ensure that all details of trained/certified candidates are updated in DAY-NULM MIS.
 8. Payment will be made by the PIA directly to the TPs/RPL facilitators as per the directions of NSDC in line with PMKVY norms.
4. Some details about RPL and cost norms as per PMKVY (2016-2020) guidelines are provided in Annexure for ready reference. More details may be accessed at Ministry of Skill Development and Entrepreneurship's (MoSDE's) website at <http://www.pmkvyofficial.org/Index.aspx>. These guidelines are subject to amendments as per the decisions of MoSDE the from time to time.
5. States/UTs may utilise the above policy for providing RPL under DAY-NULM. In case any clarifications are needed on the above, States/UTs may contact Ms. AnupamaTripathi, Consultant, Ministry of Housing & Urban Affairs (MoHUA) at +91 9654249616 or at anupama@umcasia.org.

Yours faithfully,

(ArchanaMittal)
Director- UPA-I
Tel:011 -23062127

Annexure

RPL Guidelines

1. PMKVY has issued detailed guidelines for RPL. There is a five-step process for conducting RPL - STEP 1: Mobilization, STEP 2: Pre-Screening and Counselling, STEP 3: Orientation, STEP 4: Final Assessment and STEP 5: Certification and Payout.

Further, RPL under PMKVY can be implemented through 3 modes; Type 1 - RPL at Camps, Type 2 - RPL at Employer premises and Type 3 - RPL at Centres.

2. The Project Implementing Agencies (PIAs) for RPL can be Sector Skill Councils (SSC), Training Partners, Corporates, Industry Associations, NGO, Government Entities, and Assessment Agencies. **Non-SSC PIA proposals shall not target less than 2,000 beneficiaries and not more than 20,000 beneficiaries.**

3. RPL candidates are oriented to the standardized NSQF levels and provided certification in PMKVY identified job roles. Considering that candidates under RPL are experienced in their respective domains, job roles of NSQF level 2 and above are taken up in the program.

4. The payment norms for RPL are as follows –

SN	PAYOUT HEADS	Project Type 1		Project Type 2		Project Type 3	
1.	Pay-out to PIA (Variable) Mobilisation (max. Rs. 500) Orientation Job Role Kit (max. Rs. 500)* Branding & Publicity (max. Rs. 250)	1,700	(Ceiling Cost)	1,400	(Ceiling Cost) <i>*Mobilisation Cost Head not Included</i>	1,600	(Ceiling Cost)
2.	Pay-out to SSC (Fixed) Assessment Fee	800 (M) ¹	600 (S) ²	800 (M)	600 (S)	800 (M)	600 (S)
3.	Pay-out to Candidate (Fixed) Candidate overall score must be 30% and above	500		500		500	
	Total base ceiling cost	3,000	2,800	2,700	2,500	2,900	2,700

*to be procured from NSDC empanelled vendors.

1 Manufacturing Trades

2 Services Trades

5. Funds are disbursed in two tranches for only certified candidates (nopay-out for failed/absent candidates) –

Tranche	% of Total Cost Per candidate	Output Parameters
1	80%	On Successful Certification of Candidate
2	20%	1. On Successful Submission of Evidence Based Proofs of Certificate Distribution Ceremony (Batch-wise Photos of Candidates with Certificates & Video of Certificate Distribution Ceremony) 2. Compliance with Guidelines and Terms of Reference mentioned in Term Sheet

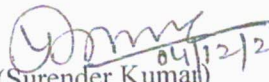
F.No.K-11/4/2017-UPAII (EFS-3146004)
Government of India
Ministry of Housing and Urban Affairs
(UPA Division)

Nirman Bhavan, New Delhi,
Dated : 4th Dec, 2017

Subject:- 6th Executive Committee meeting of DAY-NULM held on 27.11.2017 in MOHUA Conference Hall, Nirman Bhawan, New Delhi.- Forwarding of Minutes –Regarding

Reference is invited to the 6th Executive Committee meeting of DAY-NULM held on 27.11.2017 under the Chairmanship of Secretary, M/o HUA.

2. A copy of the Minutes of the Meeting is forwarded herewith for information and necessary action.


(Surender Kumar)
04/12/2017

Under Secretary to the Government of India
Tele No.23061185

Encls : (As above)

To

- ✓1. Shri Chaitanya Prasad, Principal Secretary, Urban Development, Govt. of Bihar.
- ✓2. Shri R.K. Gupta, Director, MSDE
- ✓3. Shri Samar Nanda, Director, MSME, Udyog Bhawan, New Delhi
- ✓4. Shri S.S. Rajpoot, SMD, SULM, Madhya Pradesh, Palika Bhawan, Shivaji Nagar, Bhopal
- ✓5. Dr. G. Muniratnam, General Secretary, Rashtriya Seva Sarithi, Tirupati
- ✓6. Shri B.D. Bhumarkar, Dy. Commissioner B.M.C., Harshwardhan Complex, Mata Mandir, Bhopal
- ✓7. Shri S.K. Kakkar, Senior Advisor, IBA, 6th Floor, World Trade Centre, Mumbai
- ✓8. Shri Subhash, AGM % NABARD, RO, New Delhi
- ✓9. Shri D. Bhattacharyya, AGM, RBI, New Delhi
- ✓10. Shri Satpal Sharma, Under Secretary, O/o DGLW, Ministry of Labour, 26, Jaiselmer House, Mansingh Road, New Delhi
- ✓11. Shri Sukhdev Yadav, SMM- Skill & Live, MP- DAY-SULM, UAD, Shivaji Nagar, Bhopal
- ✓12. Shri Jaikant Singh, Sr. Head NSDC, New Delhi.

Copy to :-

1. Sr. PPS to Secretary (HUA)
2. PS to Joint Secretary (NULM)
3. PPS to Director (UPA-I)
4. PA to Deputy Secretary (UPA-II)

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MINUTES OF THE 6TH EXECUTIVE COMMITTEE MEETING OF DAY-NULM HELD ON 27.11.2017 IN MoHUA CONFERENCE HALL, NIRMAN BHAWAN, NEW DELHI.

The 6th meeting of Executive Committee (EC) of DAY-NULM was held under the Chairmanship of Shri Durga Shanker Mishra, Secretary (HUA). The list of participants is at *Annexure-I*.

AGENDA NO. 1: CONFIRMATION OF MINUTES OF 5th EC MEETING

1.1 The minutes of 5th meeting of EC held on 28.03.2017 were confirmed.

AGENDA NO. 2: ACTION TAKEN REPORT OF 5th EC MEETING HELD ON 28.03.2017

The Committee took note of the action taken on the decisions of 5th EC. However, in certain matters, the following directions were given by the Chairman:

2.1 Regarding mapping out peri-urban areas it was decided that since all areas outside of municipal boundaries are under the purview of M/o RD the action on this issue should be taken by Ministry of Rural Development.

2.2 Since RSETIS are overloaded with training targets under DAY-NRLM as claimed by MoRD, it was decided that the Ministry should undertake capacity assessment of RSETIs in consultation with MoRD, so that a call on leveraging their capacity could be taken for DAY-NULM needs.

2.3 Secretary (HUA) advised to pursue with PSU banks who have not adopted the unique code system for early adoption of the same, so that accurate reports may be generated by NABARD for DAY-NULM performance.

2.4 Recognizing Aadhaar card as vendor ID card may be explored to avoid multiplicity of ID cards.

AGENDA ITEM NO. 3: STATUS OF FUND RELEASED IN FY 2017-18

3.1 Out of BE 2017-18 of Rs. 349.00 Cr under DAY-NULM, as on 20.11.2017, an amount of Rs. 332.56 Cr has been spent which is 95% of the annual allocation.

AGENDA ITEM NO. 4: PROGRESS OF INDIVIDUAL COMPONENTS

4.1 The Committee took note of the physical progress in individual components of the Mission.

AGENDA ITEM NO. 5: COMPONENT-WISE ISSUES

SOCIAL MOBILIZATION & INSTITUTION DEVELOPMENT (SM&ID):

5.1 Revision in the cost of formation, hand holding and training per SHG

It was decided that provision of funds for formation, hand holding, training, bank linkage, formation of federations and other related activities of SHGs may be

increased from Rs. 10,000 to Rs. 25,000 per SHG to provide adequate support to capacity-building activities and accordingly proposal may be included in the draft Cabinet Note.

5.2 Amendment in Revolving fund support to SHGs and their Federations

a. It was decided that RF for SHGs may be increased from Rs. 10,000 to Rs. 25000 per SHG, as was the provision under erstwhile Swarna Jayanti Shahri Rozgar Yojana (SJSRY). Existing SHGs who have already received RF of Rs. 10,000 will be eligible to get the 2nd installment on fulfillment of criteria as detailed in the Agenda. The proposal may accordingly be included in the draft Cabinet Note.

b. It was decided to re-examine the proposal of RF to CLFs in the light of financial implication vis-a-vis budget availability under DAY-NULM, difference between the urban and rural context, and specific objectives to be achieved through the CLF funds.

5.3 Provision for less than 10 member's Self Help Groups (SHGs) of differently abled persons and transgender people:

It was decided to permit SHGs of differently-abled persons with a minimum of 5 members. These SHGs may be provided revolving fund as per extant guidelines.

EMPLOYMENT THROUGH SKILL TRAINING AND PLACEMENT (EST&P):

5.4 Recognition of Prior Learning (RPL):

States like Assam have expressed a need to conduct RPL programs (especially in the construction sector) under DAY-NULM to provide certified manpower for construction projects being undertaken under Pradhan Mantri Awas Yojana (PMAY). It was decided to issue the proposed RPL guidelines under DAY-NULM in convergence with PMKVY as detailed in the Agenda.

5.5 Infrastructure Recommendations for the STPs: National Skill Development Corporation (NSDC) suggested usage of SMART portal developed by them for accreditation of training providers under DAY-NULM. Bihar also suggested that training provider registration module developed under Bihar Skill Development Mission (BSDM) may be referred in this regard. It was decided to examine the suggestions offered by NSDC and Bihar before finalizing the guidelines.

5.6 Engagement of placement agencies for giving a boost to placement: Considering that the STPs under DAY-NULM are unable to meet the placement target of 70% laid down under the Mission, it was decided to permit States to engage placement agencies as per the model proposed in the Agenda. However, states may be permitted to make the guidelines more stringent depending upon the local needs.

SELF-EMPLOYMENT PROGRAMME (SEP):

5.7 Model for Inclusion of Non-Banking Financial Companies – Micro Finance Institutions (NBFC-MFIs) as additional delivery channel for SEP loans and engagement of NABFINS under DAY-NULM.

It was decided to re-examine the proposed model of lending by NBFC-MFIs to ensure that it is in alignment with the GOI objective of providing affordable credit to urban poor.

5.8 Inclusion of Small Finance Banks as Eligible Entity for Participation under SEP

It was decided to amend the DAY-NULM guidelines to include Small Finance Banks and to inform the States accordingly.

CAPACITY BUILDING AND TRAINING (CB&T)

5.9 JS (NULM) informed that as per existing guidelines, the funding support for SMMU and CMMU will be available only for five years. During this period, states were supposed to establish their municipal cadres for implementation of NULM. However, States requested to make the support to SMMU and CMMUs co-terminus with the Mission period.

The Committee recommended for seeking approval of the GC/Cabinet on the proposal for relaxation of the relevant provisions of Mission Guidelines to allow for continued funding to SMMU and CMMU and make it co-terminus with the Mission period. Further, while taking proposal to the GC/Cabinet, the complete financial implications may be worked out.

SHELTER FOR URBAN HOMELESS (SUH)

5.10 JS (NULM) informed the EC about the report submitted by the Committee on Urban Homeless and orders of Hon'ble Supreme Court. The Committee has, inter-alia, recommended for conducting survey of urban homeless by a third party. In accordance with the Committee, the EC recommended for amending Para 6.2 of Operational Guidelines on SUH to incorporate the provision of survey of homeless by a third party.

AGENDA NO. 6: ANY OTHER MATTER:

6.1 No other matter was raised in the meeting.

The meeting concluded with thanks to the Chair.

List of Participants

1. Shri Durga Shanker Mishra, Secretary (HUA) - In Chair
2. Shri Sanjay Kumar, Joint Secretary (NULM)
3. Ms Archana Mittal, Director, (UPA-I)
4. Shri Y. S. Awana, Deputy Secretary, (UPA-II)
5. Shri Chaitanya Prasad, Principal Secretary, Urban Development, Govt. of Bihar.
6. Shri R.K. Gupta, Director, MSDE
7. Shri Samar Nanda, Director, MSME, Udyog Bhawan, New Delhi
8. Shri S.S. Rajpoot, SMD, SULM, Madhya Pradesh, Palika Bhawan, Shivaji Nagar Bhopal
9. Dr. G. Muniratnam, General Secretary, Rashtriya Seva Sarithi, Tirupati
10. Shri B.D. Bhumarkar, Dy. Commissioner B.M.C., Harshwardhan Complex, Mata Mandir, Bhopal
11. Shri S.K. Kakkar, Senior Advisor, IBA, 6th Floor, World Trade Centre, Mumbai
12. Shri Subhash, AGM % NABARD, RO, New Delhi
13. Shri D. Bhattacharyya, AGM, RBI, New Delhi
14. Shri Satpal Sharma, Under Secretary, O/o DGLW, Ministry of Labour, 26, Jaiselmer House, Manshingh Road, New Delhi
15. Shri Sukhdev Yadav, SMM- Skill & Live, MP- DAY-SULM, UAD, Shivaji Nagar, Bhopal
16. Shri Jaikant Singh, Sr. Head NSDC, New Delhi.